

Cook Group Incorporated's Website Notice of Certification

Under the E.U.-U.S. Privacy Shield Framework

Effective 1/9/2017

This website notice of certification to the E.U.-U.S. Privacy Shield Framework is intended to inform you about the specific companies in the Cook Group that have certified their adherence to, and comply with, the E.U.-U.S. Privacy Shield framework as set forth by the U.S. Department of Commerce, with respect to the collection, use, and retention of personal information transferred from the European Union to the United States. The two companies that have certified are:

Cook Research Incorporated (Cook Research), which is headquartered in West Lafayette, Indiana; and Cook MyoSite, Incorporated (Cook MyoSite), which is headquartered in Pittsburgh, Pennsylvania

For more information on the E.U.-U.S. Privacy Shield, or to view Privacy Shield certifications of Cook Research or Cook MyoSite on file with the U.S. Department of Commerce, please visit <https://www.privacyshield.gov/>.

Scope: Cook Research's and Cook MyoSite's Privacy Shield certifications to the U.S. Department of Commerce confirm their adherence to that framework for E.U. personal information transferred to the U.S., in relation to clinical research, patients, human resources, customers or suppliers. Cook Research and Cook MyoSite limit their collection, processing and storage of personal information to situations where they have a legitimate business interest in the information.

Third Party Transfers: Cook Research and Cook MyoSite entrust certain personal information pertaining to E.U. individuals to third-party partners who assist those companies with their business activities, or who have regulatory or legal oversight responsibilities in relation to certain business activities. In the context of clinical research information, the third parties include recruitment partners, research sites, ethics committees, investigational review boards, IT security partners, auditors, health authorities, and others assisting with research activities. In the context of patient information, the third parties may include organizations that assist Cook Research or Cook MyoSite with any patient registries, as well as those who assist with signal detection, adverse event reporting, quality improvement, custom device manufacturing, or regulatory oversight. In the human resource context, the third parties may include organizations assisting us in relation to job applicants, current employees, retirees or temporary personnel. This may include, for example,

organizations that assist with job applicant websites, immigration, or providing pensions or benefits to employees or retirees. In the customer context, the third parties may include medical professionals and their staff members who interact with us in relation to the medical devices that Cook Group develops. In the supplier context, the third parties may include companies that provide equipment, services or other materials to Cook Research or Cook MyoSite in connection with our business activities. For all of these categories, Cook Research and Cook MyoSite take steps to ensure that the third parties entrusted with personal information that it collects under its Privacy Shield certification uphold an equivalent level of protection for the data. Cook Research and Cook MyoSite also understand that the company can be held responsible if its business partners entrusted with E.U. personal information violate those obligations.

Disputes: In compliance with the Privacy Shield principles, Cook Research and Cook MyoSite commit to resolve complaints about its collection or use of your personal information. E.U. individuals with inquiries or complaints regarding their Privacy Shield policies or practices should first contact dataprotectioneurope@cookmedical.com or calling us at +353 61 334440 to speak to the company's Data Protection Officer. You may also write to us at: Cook Ireland Ltd., O'Halloran Road, National Technological Park, Castletroy, Limerick, Ireland. In accordance with its Privacy Shield commitment, Cook Research has adopted dispute resolution practices that are designed to evaluate and resolve any complaints or concerns about your privacy and its collection or use of your personal information within forty-five (45) days of receipt.

Third Party Dispute Resolution: Cook Research and Cook MyoSite have further committed to cooperate with the E.U. data protection authorities (DPAs) with regard to unresolved Privacy Shield complaints, including those concerning human resources data transferred from the E.U. in the context of the employment relationship. If you do not receive timely acknowledgment of your complaint from Cook Research or Cook MyoSite, or if the company has not addressed your complaint to your satisfaction, you have the right to contact the E.U. DPAs for more information or to file a complaint. The services of E.U. DPAs are provided at no cost to you.

Regulatory Oversight and Enforcement: Cook Research and Cook MyoSite are also subject to investigatory and enforcement authority of the U.S. and E.U. agencies who oversee the Privacy Shield framework, namely the U.S. Federal Trade Commission and the relevant European data protection (supervisory) authorities. Individuals also have a right to file a complaint with these oversight agencies, particularly if you believe your complaint is not satisfactorily resolved through the company.

Right to Binding Arbitration: Under limited circumstances and in accordance with the Privacy Shield framework, E.U. individuals may be able to invoke binding arbitration before a Privacy Shield Panel.

Rights of Individuals to Access Their Data: E.U. individuals have the right to access personal information about them, and to limit use and disclosure of their personal information. With its Privacy Shield certifications, Cook Research and Cook MyoSite have committed to respect and uphold those rights. Should you wish to exercise those rights, the company requests that you contact dataprotectioneurope@cookmedical.com or call +353 61 334440 to speak to our Data Protection Officer. You may also write to us at: Cook Ireland Ltd., O'Halloran Road, National Technological Park, Castletroy, Limerick, Ireland. Please note that there are certain limitations on these rights, as described in the Privacy Shield framework.

Law Enforcement Requests: Cook Research and Cook MyoSite are required to disclose personal information in response to lawful requests by public authorities, including compliance with national security or law enforcement requirements.

Conflicts: If there is any conflict between the terms in this privacy policy and the Privacy Shield Principles, the Privacy Shield Principles will govern.